

# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR		ATTORNEY DOCKET NO
08/982, <b>1</b> 57	' - 12/01/9	7 ŘEISMAN	· R	· RR3
-		LM02/072i		EXAMINER
FINNEGAN HENDERSON FARABOW .			YUAN	, C
GARRETT &	DUNNER LL		ART UNIT	PAPER NUMBER
1300 I STF WASHINGTON		3315	2782	
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Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner of Patents and Trademarks** 

07/21/99

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## Office Action Summary

Application No. 08/982,157

Applicant(s)

Reisman

Examiner

Chien Yuan

Group Art Unit 2782



X Responsive to communication(s) filed on 12/1/97, 3/5/98, 12/23/98	8, and 4/28/99
☐ This action is <b>FINAL</b> .	
☐ Since this application is in condition for allowance except for formal in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 1	matters, prosecution as to the merits is closed 1; 453 O.G. 213.
A shortened statutory period for response to this action is set to expire is longer, from the mailing date of this communication. Failure to responsibility application to become abandoned. (35 U.S.C. § 133). Extensions of time 37 CFR 1.136(a).	nd within the period for response will cause the
Disposition of Claims	•
	is/are pending in the application.
Of the above, claim(s)	
Claim(s)	
X Claim(s) 34-37, 39-42, 44, 47-60, 62-78, 80, and 83	
Xiciaim(s) 38, 43, 45, 46, 61, 79, 81, and 82	is/are objected to.
Claims	
Application Papers	
☑ See the attached Notice of Draftsperson's Patent Drawing Review	, PTO-948.
is/are objected to b	
☐ The proposed drawing correction, filed oni	
The specification is objected to by the Examiner.	
The oath or declaration is objected to by the Examiner.	·
Priority under 35 U.S.C. § 119	•
Cknowledgement is made of a claim for foreign priority under 35	U.S.C. § 119(a)-(d).
All Some* None of the CERTIFIED copies of the prio	rity documents have been
received.	•
received in Application No. (Series Code/Serial Number)	·
received in this national stage application from the Internation	onal Bureau (PCT Rule 17.2(a)).
*Certified copies not received:	
X Acknowledgement is made of a claim for domestic priority under 3	35 U.S.C. § 119(e).
Attachment(s)	
Notice of References Cited, PTO-892	
☑ Information Disclosure Statement(s), PTO-1449, Paper No(s). 2,	<u>5, 6</u>
☐ Interview Summary, PTO-413	
X Notice of Draftsperson's Patent Drawing Review, PTO-948	
☐ Notice of Informal Patent Application, PTO-152	·
SEE OFFICE ACTION ON THE FOLLO	•

#### **DETAILED ACTION**

1. Applicant's Preliminary Amendment, filed on 12/1/97, canceled claim 1 and added new claims 34-83. Claims 34-83 are presented for examination.

### Claim Objections

2. Claims 36 and 37 are objected to because of the following informalities: claim 36 appears to be an incomplete claim, as the first line appears to be missing content, and claim 37 depends from claim 36. The dependence of claim 36 is assumed to be on claim 35 and the examination of the objected claims was based upon this assumption. Appropriate correction is required.

### Claim Rejections - 35 U.S.C. § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 4. Claims 34-37, 39-42, 44, 47-60, 62-78, 80, and 83 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hill (U.S. Patent No. 5,528,490) in view of Valenti (U.S. Patent No. 4,974,149).
- 5. Regarding claims 34 and 75, Hill describes a system where a user computer station comprises an automated electronic information transporter ('application software', col. 8, lines 59-61; Fig. 1C) which controls the transport of information objects on a communications network providing access to a remote source computer (abstract, lines 1-3 & 14-16; Fig. 1A).

Additionally, the information transporter comprises a communications software module (col. 8, lines 61-62; Fig. 1C) and transport control means ('data request software', col. 8, lines 61-63; Fig. 1C) to control transport of information objects.

In operation, the user computer sends a request to the remote computer for either install files or support (i.e. update) files. This request also includes a unique identification associated with the user computer and this identification is compared with a validation file stored in the remote computer for verification reasons. Upon proper verification, the remote computer sends the requested information to the user computer, where it is stored in hard disk memory (col. 13, lines 29-45; col. 14, lines 39-55; col. 15, lines 31-44).

Hill, however, does not disclose the limitation of the communications network providing access to multiple remote sources nor the limitation of including both a source address and an object manifest in the transport control means.

Valenti teaches a system where a data descriptor is sent from one computer system to another computer system (abstract, lines 1-9) in order to provide a description of the data to be sent (col. 3, lines 31-34), the address of its source (col. 3, lines 31-32), and the time at which it is to be sent (col. 4, lines 16-20). This descriptor, as it contains object transport-related specifications, is in essence an object manifest.

Although Valenti initially describes the system as one where a central host system sends a data descriptor to a plurality of remote systems (abstract, lines 6-7), each system can function as a central or a remote system (abstract, lines 9-11; col. 3, lines 47-61). In this manner, the system at any given time may be considered as one where a remote system sends requested information to a user system based on the user system's object manifest.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of Hill and Valenti in order to increase versatility in requesting and transmitting information objects between remote systems.

6. Regarding claim 36, official notice is taken by the examiner that the formats listed are all well-known in the art and it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate these formats into the combination of Hill in view of Valenti.

7. Regarding claim 37, official notice is taken by the examiner that the Internet is well-known in the art and it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate it into the combination of Hill in view of Valenti.

- 8. Regarding claims 39-41, 47, and 76-78, the limitation of transporting data from the remote source to the user system based upon the transport-related specifications included in the object manifest is additionally shown by Hill in view of Valenti (col. 3, lines 31-38).
- 9. Regarding claim 49, the selection of a 'containing information product' from the listed products is a matter of engineering design choice and, therefore, not patentably distinct.
- 10. Regarding claims 50 and 51, the selection of a function from the listed database functions and the selection of the presentation format from the listed formats are both a matter of engineering design choice and, there, not patentably distinct.

#### Allowable Subject Matter

11. Claims 38, 43, 45, 46, 61, 79, 81, and 82 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Conclusion

12. The references Hill and Valenti have not been listed in the accompanying Notices of

References cited as they were included in the applicant's own Supplemental Information Disclosure

Statement filed on 4/28/99.

13. Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Chien Yuan whose telephone number is (703) 308-8486. The examiner can

normally be reached on Monday-Friday from 7:30 a.m. to 4 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor,

Thomas Lee, can be reached on (703) 305-9717. The fax phone number for the organization where

this application or proceeding is assigned is (703) 306-5404.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (703) 305-9600.

**CHY** 

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July 15, 1999

THOMAS C. LEE

SUPERVISORY PATENT EXAMINER

GROUP 2700

# NOTICE OF DRAFTPERSON'S PATENT DRAWING REVIEW

The drawing filled (insert date) 12/11/97 are:	
A not objected to by the Draftperson under 37 CFR 1.84 or 1.15	
B. 6 objected to by the Draftperson under 37 CFR 1.84 or 1.152 a	s indicated below. The Examiner will require submission of new, corrected
drawings whe necessary. Corrected drawings must be submitted according to the in	structions on the back of this notice.
1. DRAWINGS. 37 CFR 1.84(a): Acceptable categories of drawings:	7. SECTIONAL VIEWS. 37 CFR 1.84(h)(3)
Black ink. Color.	Hatching not indicated for sectional portions of an object.
Color drawing are not acceptable until petition is granted.	Fig.(s)
Fig.(s)	Sectional designation should be noted with Arabic or
Pencil and non black ink is not permitted. Fig(s)	Roman numbers. Fig.(s)
2. PHOTOGRAPHS. 37 CFR 1.84(b)	8. ARRANGEMENT OF VIEWS: 37 CFR 1.84(i)
Photographs are not acceptable until petition is granted;	Words do not appear on a horizontal, left-to-right fashion when
3 full-tone sets are required. Fig(s)	page is either upright or turned, so that the top becomes the right
Photographs not properly mounted (must brystol board or	side, except for graphs. Fig.(s)
photographic double-weight paper). Fig(s)	Views not on the same plane on drawing sheet. Fig.(s)
	9. SCALE. 37 CFR 1.84(k)
3. TYPE OF PAPER. 37 CFR 1.84(e)	Scale not large enough to show mechansim without crowding
Paper not flexible, strong, white and durable.	when drawing is reduced in size to two-thirds in reproduction.
Fig.(s)  Brasures, alterations, overwritings, interlineations,	en laging Fig.(s) <u>and the state of the stat</u>
folds, copy machine marks not acceptable: (too thin)	10. CHARACTER OF LINES, NUMBERS, & LETTERS. 37 CFR 1.84(I)
Mylar, vellum paper is not acceptable (too thin).	Lines, numbers & letters not uniformly thick and well defined, clean, durable and black (poor line quality).
Fig(s)	
4. SIZE OF PAPER. 37 CFR 1.84(F): Acceptable sizes:	Fig.(s)
21.0 cm by 29.7 cm (DIN size A4)	11. SHADING 37, CFR 1.84(m)
21.6 cm by 27.9 cm (8 1/2 x 11 inches)	Solid black shading not permitted. Fig.(s)
All drawings sheets not the same size.	Shade lines, pale, rough and blurred. Fig.(s)
Sheet(s)	12. NUMBERS, LETTERS, & REFERENCE CHARACTERS.
5. MARGINS. 37 CFR 18.4(g): Acceptable margins:	37. CFR 1.48(p)
Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom 1.0 cm	Numbers and reference characters not plain and legible.
SIZE: A4 Size	Fig.(s)
Top 2.5 cm Left 2.5 cm Right 1.5 cm Bottom 1.0 cm	Figure legends are poor: Fig.(s)
SIZE: 8 1/2 x 11	Numbers and reference characters not oriented in the same
Margins not acceptable. Fig(s)	direction as the view. 37 CFR 1.84(p)(3) Fig.(s)
Top (T) Left (L)	Engligh alphabet not used. 37 CFR 1.84(p)(3) Fig.(s)
Right (R) Bottom (B)	Numbers, letters and reference characters must be at least,
6. VIEWS. CFR 1.84(h) REMINDER: Specification may require revision to	.32 cm (1/8 inch) in height. 37 CFR 1.84(p)(3) Fig.(s)
correspond to drawing changes:	13.LEAD LINES: 37 CFR 1.84(q)
Views connected by projection lines or lead-lines.	Lead lines cross each other: Fig.(s)
Fig.(s)	Lead-lines missing. Fig.(s)
Partial views. 37 CFR 1.84(h)(2)	14: NUMBERING OF SHEETS OF DRAWINGS. 37 CFR 1:48(t)
Brackets needed to show figure as one entity.	Sheets not numbered consecutively, and in Ababic numerals
Fig.(s)	beginning with number 1. Fig.(s)
Views not labeled separately or properly.	15. NUMBERING OF VIEWS. 37 CFR 1.84(u)
Fig.(s)	Views not numbered consecutively, and in Abrabic numerals,
Enlarged view not labeled separately or properly.	beginning with number 1. Fig.(s)
Fig.(s)	16. CORRECTIONS. 37 CFR 1.84(w)
	Corrections not made from PTO-948 dated
	17. DESIGN DRAWINGS. 37 CFR 1.152
	Surface shading shown not appropriate. Fig.(s)
	Solid black shading not used for color contrast.
	Fig.(s)
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